



Scott T. Marshall  
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This Brochure Supplement provides information about Scott Marshall, Independent Investment Advisor Representative, which supplements the Valmark Advisers, Inc. ("Valmark") Form ADV Part 2A Brochure. Please inform Scott Marshall at the contact information listed above if you did not receive Valmark's Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement. Additional information about Valmark and Scott Marshall is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) and FINRA's website at [www.finra.org/brokercheck](http://www.finra.org/brokercheck).

### Educational Background and Business Experience

Scott T. Marshall

Date of Birth: 12/24/1979

#### Education:

- Bachelor's degree of Accountancy: The University of Mississippi
- Master's degree of Accountancy: The University of Mississippi

#### Examinations and Professional Designations:

- CFP® – CERTIFIED FINANCIAL PLANNER™  
The CFP® designation is offered and recognized by the Certified Financial Planning Board of Standards, Inc. Candidates must have a bachelor's degree (or higher) from an accredited college or university and at least 3 years of full-time personal financial planning experience. Certificant holders must complete a CFP®-board registered program or hold one of the following designations; CPA, ChFC®, CLU®, CFA®, Ph.D. in business or economics, Doctor of Business Administration, or an Attorney's License. Certificants must also successfully pass a 2-day comprehensive examination and complete 30 hours of continuing education credits every 2 years.
- CPA – CERTIFIED PUBLIC ACCOUNTANT  
The CPA designation is offered by the American Institute of Certified Public Accountants® (AICPA®). The requirements to become a CPA include; a) an educational requirement that varies from state to state, but generally consists of 150 credit hours with a predetermined number of accounting credit hours, b) passing all 4 parts of the uniform CPA exam with a 75% or higher (eligibility to sit for exam depends on the state) and, c) 1-2 years of experience under a CPA (varies by state). The AICPA® also requires CPAs to perform continuing professional education, typically 40 hours per year, as well as renewing their licenses every 1, 2 or 3 years.
- FINRA Series 7 License - General Securities Representative
- FINRA Series 66 License - Uniform Combined State Law Exam

#### Business Experience: Partner – Rivertree Financial Planning – 2009

- Registered Representative- ValMark Securities, Inc. October 18, 2021 to present
- Investment Advisor Representative- Valmark Advisers, Inc. October 18, 2021 to present

### Disciplinary Information

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceeding before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

Scott Marshall has no disciplinary record that would impact a client's evaluation of the practice

### Other Business Activities

Scott Marshall is a Registered Representative of Valmark Securities, Inc., a broker dealer under common ownership and management of Valmark Advisers, Inc.

Scott Marshall is also an insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of Valmark Advisers, Inc.

Scott Marshall the sole member of Marshall Financial Planning, LLC, a financial services corporation not affiliated with Valmark Advisers, Inc.

### Additional Compensation

As a Registered Representative of an affiliated broker dealer, Valmark Securities, Inc., Scott Marshall is eligible to receive normal commissions associated with securities sales.

Scott Marshall is also eligible to receive insurance commissions surrounding the sales of insurance products sold through the affiliated insurance agency, Executive Insurance Agency, Inc.

Scott Marshall may receive compensation from Marshall Financial Planning, LLC. Marshall Financial Planning, LLC is a shareholder in Rivertree Financial Planning, LLC.

### Supervision

Scott Marshall is an independent investment advisor representative. However, before accepting and implementing investment strategies recommended, supervisory principals at the Valmark home office review and approve of the investment strategies. Accounts are reviewed periodically, usually at least annually by the independent advisor. The home office compliance team also periodically reviews accounts and strategies. These initial and ongoing reviews are under the direction of OSJ Principal Zach Hurst who may be reached at 330-576-1234 to discuss questions or concerns in connection with the internal compliance program.